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Approved through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for continued examination of a utility or plant application filed on or after June 8, 1995. See the American Inventors Protection Act of 1999 (AIPA).

|                        |                   |
|------------------------|-------------------|
| Application Number     | 09/997,345        |
| Filing Date            | November 29, 2001 |
| First Named Inventor   | Marcel Heerman    |
| Group Art Unit         | 2811              |
| Examiner Name          | Hung K. Vu        |
| Attorney Docket Number | P01,0396-01       |

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

## 1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other (combined) Amendment "B" and Information Disclosure Statement

## 2. Miscellaneous

- a. ☐ Suspension of Action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required.)
- b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE under 37 C.F.R. § 1.114 when the RCE is filed.

- ☒ The director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 501519.

- i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Check in the amount of \$750.00 enclosed 06/19/2003 AWONDAF1 00000032 09997345 01 FC:1801 750.00 OP
- c. ☐ Payment by credit card (Form PTO 2038 enclosed)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type) James D. Hobart Registration No. Attorney/Agent 24,149  
Signature [Signature] Date June 12, 2003

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type) James D. Hobart  
Signature [Signature] Date June 12, 2003

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**AMENDMENT "B" AND INFORMATION DISCLOSURE STATEMENT  
ACCOMPANYING A REQUEST FOR CONTINUED EXAMINATION**

APPLICANT: Marcel Heerman ALLOWED: April 22, 2003  
SERIAL NO.: 09/997,345 GROUP ART UNIT: 2811  
FILED: November 29, 2001 EXAMINER: Hung K. Vu  
INVENTION: "AN INTERMEDIATE BASE CONFIRMATION NO.: 6066  
FOR A SEMICONDUCTOR MODULE,  
A SEMICONDUCTOR MODULE USING  
THE INTERMEDIATE BASE AND A METHOD  
FOR PRODUCING THE INTERMEDIATE BASE"

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PO Box 1450  
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SIR:  
Prior to examination, please amend the above-identified application as follows: